

U. S. ENVIRONMENTAL PROTECTION AGENCY
REGION 7
11201 RENNER BOULEVARD
LENEXA, KANSAS 66219

2020 JAN 23 AM 8:06

BEFORE THE ADMINISTRATOR

In the Matter of:)	
)	
Bax Homeworks L.L.C.,)	Docket No. TSCA-07-2020-0019
)	
Respondent.)	
_____)	

EXPEDITED SETTLEMENT AGREEMENT AND FINAL ORDER

1. The U.S. Environmental Protection Agency (“EPA”) alleges that Bax Homeworks L.L.C. (“Respondent”), failed to comply with the regulations governing residential property renovation, in violation of Section 409 of the Toxic Substances Control Act (“TSCA”), 15 U.S.C. § 2689.

2. TSCA § 402(c) requires the Administrator of EPA to promulgate regulations governing renovation and remodeling activities to ensure, among other things, that contractors engaged in such activities are certified by EPA to perform these activities. These regulations are codified at Part 745 of Title 40 of the Code of Federal Regulations.

3. The regulation at 40 C.F.R. § 745.81(a)(2)(ii) prohibits firms from performing, offering, or claiming to perform renovations without certification from EPA under § 745.89 in target housing or child-occupied facilities on or after April 22, 2010.

4. Respondent, as a firm which performs, offers, or claims to perform renovations for compensation on housing constructed prior to 1978, is required to obtain firm certification from EPA. Respondent failed to obtain initial firm certification from EPA to perform renovations, in violation of 40 C.F.R. §§ 745.89(a)(1) and 745.81(a)(2)(ii).

5. EPA and Respondent agree that settlement of this matter for a civil penalty of \$1,000 (one thousand dollars) is in the public interest.

6. Not more than thirty (30) calendar days after the effective date of the Final Order, Respondent shall deposit the civil penalty amount listed in Paragraph 5 by one of the following methods:

- A. Send a cashier’s or certified check or money order with a notation for Docket No. TSCA-07-2020-0019, payable to the order of the “Treasury of the United States of America,” to the following address:

U.S. Environmental Protection Agency
Fines and Penalties
Docket No. TSCA-07-2020-0019
Cincinnati Finance Center
P.O. Box 979077
St. Louis, Missouri 63197-9000.

- B. Send a cashier's or certified check or money order by an overnight/common carrier (e.g., FedEx® or United Parcel Service of America, Inc.) with a notation for Docket No. TSCA-07-2020-0019 payable to the order of the "Treasury of the United States of America" to the following address:

U.S. Environmental Protection Agency
Government Lockbox 979077
1005 Convention Plaza
SL-MO-C2-GL
St. Louis, Missouri 63101.

- C. Make electronic payment (Vendor Express, Fedwire, Pay.gov) at <http://www2.epa.gov/financial/makepayment> following the online directions for an electronic funds transfer (EFT).

7. Concurrently with Paragraph 6, Respondent shall forward a copy of the cashier's or certified check or money order or documentation of a wire transfer to the following addresses:

Mary Woodruff
Compliance Officer
ECAD/CB
U.S. Environmental Protection Agency, Region 7
11201 Renner Boulevard
Lenexa, Kansas 66219

and

Regional Hearing Clerk
U.S. Environmental Protection Agency, Region 7
11201 Renner Boulevard
Lenexa, Kansas 66219.

By written notice to Respondent, EPA may change the address and/or person listed above.

8. EPA is authorized to enter into this Expedited Settlement Agreement ("Agreement"), and this proceeding for the assessment of a civil penalty is simultaneously commenced and concluded pursuant to Section 16 of TSCA and 40 C.F.R. § 22.13(b).

9. In signing this Agreement, Respondent: (a) admits that Respondent is subject to the requirement in Paragraph 4, above; (b) admits that EPA has jurisdiction over Respondent and Respondent's conduct as alleged herein; (c) neither admits nor denies the factual allegations contained herein; (d) consents to the assessment of this penalty; and (e) waives any and all remedies, claims for relief and other available rights to judicial or administrative review Respondent may have with respect to any issue of fact or law set forth in this Agreement and Final Order, including the opportunity for a hearing or appeal pursuant to TSCA and 40 C.F.R. Part 22 and any right of judicial review under Chapter 7 of the Administrative Procedure Act, 5 U.S.C. §§ 701 -706.

10. By its signature below, Respondent certifies, subject to civil and criminal penalties for making a false submission to the United States Government, that Respondent: (a) has corrected the alleged violation and is in compliance with Section 402 of TSCA, 15 U.S.C. § 2682 and its implementing regulations at 40 C.F.R. Part 745; (b) agrees to provide payment of the civil penalty set forth in Paragraph 5; (c) agrees to submit a true and accurate proof of payment of said civil penalty as set forth in Paragraph 6; and (d) agrees to release said payment to EPA upon entry of the Final Order attached hereto.

11. Upon the effective date of this Agreement and subsequent payment of the civil penalty as set forth in Paragraph 5, Respondent shall only be resolved of liability for federal civil penalties for the violations and facts alleged herein.

12. No portion of the civil penalty or interest paid by Respondent pursuant to the requirements of this Agreement shall be claimed by Respondent as a deduction for federal, state, or local income tax purposes.

13. This Agreement does not affect the rights of EPA or the United States to pursue appropriate injunctive relief or other equitable relief or criminal sanctions for any violations of law. EPA reserves its rights to take enforcement action for any other violations by Respondent of TSCA, any other federal statute or regulation, or this Agreement.

14. Failure to pay the assessed penalty may result in the referral of this matter to the United States Department of Justice for collection of the amount due plus stipulated penalties and interest at the statutory judgment rate provided in 28 U.S.C. § 1961.

15. Each party shall bear its own costs and fees, if any.

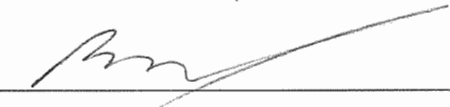
16. This Agreement, authorized by EPA's execution of the Final Order attached hereto, constitutes a final order under 40 C.F.R. Part 22.

17. This Agreement is binding on the parties signing below, and in accordance with 40 C.F.R. § 22.31(b), is effective upon filing.

FOR THE RESPONDENT:

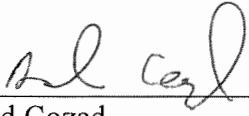
Name (print): Robby S. Bax

Title (print): Owner / Operator

Signature: 

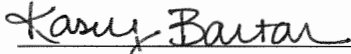
Date: 4/08/2020

FOR THE COMPLAINANT:



Date: 1-14-20

David Cozad
Director
Enforcement and Compliance Assurance Division



Date: 1/16/20

Kasey Barton
Attorney
Office of Regional Counsel

FINAL ORDER

Pursuant to the authority of Section 16 of Toxic Substances Control Act, 15 U.S.C. § 2615, and according to the terms of this Agreement, IT IS HEREBY ORDERED THAT:

1. Respondent shall comply with all terms of the Expedited Settlement Agreement;
2. Respondent is assessed a civil penalty of \$1000.00 (one thousand dollars and no cents);
and
3. Respondent shall, in accordance with the payment provisions set forth in the Expedited Settlement Agreement, make payment via a certified or cashier's check or money order or through a wire transfer as described in the Expedited Settlement Agreement.

This Agreement shall be effective upon the filing of the Final Order by the Regional Hearing Clerk for the EPA, Region 7. Unless otherwise stated, all time periods stated herein shall be calculated in calendar days from such date.

IT IS SO ORDERED.

Karina Borromeo
KARINA BORROMEIO
Regional Judicial Officer
U.S. Environmental Protection Agency, Region 7

Date: Jan. 23, 2020

CERTIFICATE OF SERVICE

I certify that that a true and correct copy of the foregoing Expedited Settlement Agreement and Final Order was sent this day in the following manner to the addressees:

Copy via Email to Complainant:

barton.kasey@epa.gov

Copy via Email to Respondent:

rbax@baxhomeworks.com

AND

Copy via Certified Mail, Return Receipt Requested to Respondent:

Robby Bax
Registered Agent
Bax Homeworks LLC
222 Indian Meadows Drive
Jefferson City, Missouri 65101

Dated this 23rd day of January, 2020

Signed

Cam Rock for
Lise Hansen